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03-26-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 8893-000003

First Inventor David A. Goodman

Title APPARATUS AND METHOD FOR CONTINUOUS REWORK
FERMENTATION

Express Mail Label No. EL 486 600 186 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 21]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 3]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____ /
Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label



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Name (Print/Type)	David A. McLaughry	Registration No. (Attorney/Agent)	37,885
Signature		Date	March 23, 2001

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FEE TRANSMITTAL for FY 2001		Complete if Known		
		Application Number		
Patent fees are subject to annual revision.		Filing Date		
		First Named Inventor	David A. Goodmanson	
		Examiner Name		
		Group / Art Unit		
TOTAL AMOUNT OF PAYMENT	(\$)	449	Attorney Docket No	8893-000003

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)				
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to		3. ADDITIONAL FEES				
Deposit Account Number	08-0750	Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
Deposit Account Name	Harness, Dickey & Pierce, P.L.C.	105	130	205	65	Surcharge - late filing fee or oath
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		127	50	227	25	Surcharge - late provisional filing fee or cover sheet.
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		139	130	139	130	Non-English specification
2. <input checked="" type="checkbox"/> Payment Enclosed		147	2,520	147	2,520	For filing a request for reexamination
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		112	920*	112	920*	Requesting publication of SIR prior to Examiner action
FEE CALCULATION		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
1. BASIC FILING FEE		115	110	215	55	Extension for reply within first month
Large Entity Fee (\$)	Small Entity Fee (\$)	116	390	216	195	Extension for reply within second month
101	710	201	355			Extension for reply within third month
106	320	206	160			Extension for reply within fourth month
107	490	207	245			Extension for reply within fifth month
108	710	208	355			Notice of Appeal
114	150	214	75			Filing a brief in support of an appeal
SUBTOTAL (1)						Request for oral hearing
						Petition to institute a public use proceeding
						Petition to revive - unavoidable
						Petition to revive - unintentional
						Utility issue fee (or reissue)
						Design issue fee
						Plant issue fee
						Petitions to the Commissioner
						Petitions related to provisional applications
						Submission of Information Disclosure Stmt
						Recording each patent assignment per property (times number of properties)
						Filing a submission after final rejection (37 CFR § 1.129(a))
						For each additional invention to be examined (37 CFR § 1.129(b))
						Request for Continued Examination (RCE)
						Request for expedited examination of a design application
						Other fee (specify)
						*Reduced by Basic Filing Fee Paid
						SUBTOTAL (3)
						(\$)
						0

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	David A. McClaughry	Registration No. Attorney/Agent	37,885	Telephone	(248) 641-1600
Signature		Date	March 23, 2001		

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